



Soteria Children's Services
Scarcliffe House
WHISTLEBLOWING POLICY
(Making a Disclosure in the Public Interest)

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Ratified By:

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Responsible Individual & Director

This policy will be reviewed and published in writing at least annually and if necessary, more frequently in response to any significant incidents or new developments in national, local, and organisational policy, guidance and practise.



Introduction

1. Soteria Children's Services is committed to the highest standards of openness, probity, transparency, responsibility, and accountability.

An important aspect of accountability and transparency is a mechanism to enable staff and other members of the Company to voice concerns in a responsible and effective manner. It is a fundamental term of every contract of employment that an employee will faithfully serve their employer and not disclose confidential information about the employer's affairs. Nevertheless, where an individual discovers information which they believe shows serious malpractice or wrongdoing within the organisation then this information should be disclosed internally without fear of reprisal, and there should be arrangements to enable this to be done independently of line management (although in relatively minor instances the line manager would be the appropriate person to be told).

2. The Public Interest Disclosure Act gives legal protection to employees against being dismissed or penalised by their employers as a result of publicly disclosing certain serious concerns. The Company has endorsed the provisions set out below to ensure that no members of staff should feel at a disadvantage in raising legitimate concerns.
3. It should be emphasised that this policy is intended to assist individuals who believe they have discovered malpractice or impropriety. It is not designed to question financial, or business decisions taken by the Company, nor should it be used to reconsider any matters which have already been addressed under harassment, complaint, disciplinary or other procedures.

Scope of Policy

4. This policy is designed to enable employees of the Company to raise concerns internally and at a high level and to disclose information which the individual believes shows malpractice or impropriety. This policy is intended to cover concerns which are in the public interest and may at least initially be investigated

separately but might then lead to the invocation of other procedures e.g. disciplinary. These concerns could include:

- Financial malpractice or impropriety or fraud
- Failure to comply with a legal obligation or Statutes
- Dangers to Health & Safety or the environment
- Criminal activity
- Improper conduct or unethical behaviour
- Attempts to conceal any of these

Safeguards

5. **Protection** - this policy is designed to offer protection to those employees of Soteria Children's Services who disclose such concerns provided the disclosure is made:

- in good faith
- in the reasonable belief of the individual making the disclosure that it tends to show malpractice or impropriety and if they make the disclosure to an appropriate person (see below). It is important to note that no protection from internal disciplinary procedures is offered to those who choose not to use the procedure. In an extreme case, malicious or wild allegations could give rise to legal action on the part of the persons complained about.

6. **Confidentiality** - Soteria Children's Services will treat all such disclosures in a confidential and sensitive manner. The identity of the individual making the allegation may be kept confidential so long as it does not hinder or frustrate any investigation. However, the investigation process may reveal the source of the information and the individual making the disclosure may need to provide a statement as part of the evidence required.

7. **Anonymous Allegations** - this policy encourages individuals to put their name to any disclosures they make. Concerns expressed anonymously are much less

credible, but they may be considered at the discretion of the Company. In exercising this discretion, the factors to be taken into account will include:

- The seriousness of the issues raised
- The credibility of the concern
- The likelihood of confirming the allegation from attributable sources

8. **Untrue Allegations** - If an individual makes an allegation in good faith, which is not confirmed by subsequent investigation, no action will be taken against that individual. In making a disclosure the individual should exercise due care to ensure the accuracy of the information. If, however, an individual makes malicious or vexatious allegations, and particularly if he or she persists with making them, disciplinary action may be taken against that individual.

Procedures for Making a Disclosure

9. On receipt of a complaint of malpractice, the member of staff who receives and takes note of the complaint, must pass this information as soon as is reasonably possible, to the appropriate designated investigating officer as follows:

- Complaints of malpractice will be investigated by the Designated Safeguarding Lead unless the complaint is against the DSL or is in any way related to the actions of the DSL. In such cases, the complaint should be passed to the or Responsible Individual or the Director for referral.
- In the case of a complaint, which is any way connected with but not against the Director, the Registered Manager or Responsible Individual will nominate a Senior Manager or external party to act as the alternative investigating officer.
- Complaints against the Registered Manager or Responsible Individual should be passed to the Director who will nominate an appropriate internal / external investigating officer.

- The complainant has the right to bypass the line management structure and take their complaint to the Police if a child is in direct danger.
- The complainant should exhaust the management structure, however, if they feel their concerns have not been acted on appropriately, they have the right to notify Ofsted of their concerns. Or any other relevant external professional such as the child's placing authority or the homes Local authority designated Officer.

10. If there is evidence of criminal activity, then the investigating officer should inform the police. The Company will ensure that any internal investigation does not hinder a formal police investigation.

Timescales

11. Due to the varied nature of these sorts of complaints, which may involve internal / external investigators and / or the police, it is not possible to lay down precise timescales for such investigations. The investigating officer should ensure that the investigations are undertaken as quickly as possible without affecting the quality and depth of those investigations.

12. The investigating officer, should as soon as practically possible, send a written acknowledgement of the concern to the complainant and thereafter report back to them in writing the outcome of the investigation and on the action that is proposed. If the investigation is a prolonged one, the investigating officer should keep the complainant informed, in writing, as to the progress of the investigation and as to when it is likely to be concluded.

13. All responses to the complainant should be in writing and sent to their home address marked "confidential".

Investigating Procedure

14. The investigating officer should follow these steps:

- Full details and clarifications of the complaint should be obtained.
- Information should be shared with all relevant professionals and within appropriate timescales. This includes notifying Ofsted under regulation 40 of the children's homes regulations 2015 of any allegations regarding members of staff within the home.
- The investigating officer should consider the suspension of whom the concern is raised against should this be necessary, and in the interest of safeguarding all involved.
- The investigating officer should inform the member of staff against whom the complaint is made as soon as is practically possible. The member of staff will be informed of their right to be accompanied by a work colleague at any future interview or hearing held under the provision of these procedures. At the discretion of the investigating officer and dependant on the circumstances of the complaint an alternative representative may be allowed e.g. the individual's legal representative.
- The investigating officer should consider the involvement of the Police at this stage and should consult with the Registered Manager, Responsible Individual or Director as appropriate, where necessary this may include strategy meetings to consider section 47 investigations.
- The allegations should be fully investigated by the investigating officer with the assistance where appropriate, of other individuals / bodies.
- A judgement concerning the complaint and validity of the complaint will be made by the investigating officer. This judgement will be detailed in a written report containing the findings of the investigations and reasons for the judgement. The report will be passed to the Registered Manager, Responsible Individual or Director as appropriate.
- The Registered Manager, Responsible Individual or Director will decide what action to take. If the complaint is shown to be justified, then they will invoke the disciplinary or other appropriate Company procedures.

- The complainant should be kept informed of the progress of the investigations and, if appropriate, of the final outcome.

15. If the complainant is not satisfied that their concern is being properly dealt with by the investigating officer, they have the right to raise it in confidence with the Registered Manager or Responsible Individual, Director or one of the designated persons described above.

16. If the investigation finds the allegations unsubstantiated and all internal procedures have been exhausted, but the complainant is not satisfied with the outcome of the investigation, Soteria Children's Services recognises the lawful rights of employees and ex-employees to make disclosures to prescribed persons or body (e.g. the Health and Safety Executive). A full list of prescribed people and bodies can be found on the Government Website (www.gov.uk).

Important Internal Contacts

Responsible Individual

Contact Details:

Dr Peter Cliff

07538841345

DrCliff@Soteriagroup.co.uk

Registered Manager

Contact Details:

Ryan Metcalfe

07565637053

RMetcalfe@Soteriagroup.co.uk

Director

Contact Details:

Christopher Brass

CBrass@Soteriagroup.co.uk

Email or Written and Anonymous Whistleblowing

Registered Office Address:

43 Coniscliffe Road, Darlington, Co. Durham, England, DL3 7EH

whistleblowing@soteriagroup.co.uk

Important External Contacts

LADO

Miles Dent Derbyshire's Local Authority Designated Officer

Tel: 01629 531940

Email: miles.dent@derbyshire.gov.uk

Website: www.childrenscommissioner.gov.uk

Email: advice.team@childrenscommissioner.gsi.gov.uk

Telephone: 0800 528 0731



Ofsted Contact Details

Ofsted,
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M1 2WD



Website: www.ofsted.gov.uk

Tel: 0300 123 3155

E-mail: whistleblowing@ofsted.gov.uk

Website: www.derbyshiremind.org.uk

Email: advocacy@derbyshiremind.org.uk

Telephone: 01332 623732



Further information can be found at <https://www.ddscp.org.uk> in relation to the local children's safeguarding partnership.